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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/928,918
Filing Date	August 13, 2001
First Named Inventor	John Paulson
Group Art Unit	3635
Examiner Name	
Attorney Docket Number	PAULJO-4

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB 08a with 11 documents		
<table border="1"><tr><td>Remarks</td><td>The Commissioner is hereby authorized to change any additional fees that may be required with respect to this communication, or credit any overpayment, to Deposit Account No. 15-0660.</td></tr></table>			Remarks	The Commissioner is hereby authorized to change any additional fees that may be required with respect to this communication, or credit any overpayment, to Deposit Account No. 15-0660.
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jeffrey W. Smith, Reg. No. 33455
Signature	<i>Jeffrey W. Smith</i>
Date	November 19, 2001

## CERTIFICATE OF MAILING

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PATENT APPLICATION

1/22/02  
PAH  
#5

In the United States Patent and Trademark Office

Applicant: John Paulson  
Docket No.: PAULJO-4  
Date: November 19, 2001  
Application No.: 09/928,918  
Filed: August 13, 2001  
Art Unit: 3635  
Examiner:

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Jeffrey W. Smith, Reg. No. 33455  
Name of Applicant, assignee or  
Registered Representative

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicants wish to call the attention of the Examiner to the following documents:

United States Patents

<u>U.S. Patent No.</u>	<u>Patentee</u>	<u>Issue Date</u>
2,213,355	Woodworth	09-03-1940
4,825,619	Forsberg	05-02-1989
4,914,876	Forsberg	04-10-1990
4,998,397	Orton	03-12-1991
5,062,610	Woolford et al.	11-05-1991
5,131,791	Kitziller	07-21-1992
5,145,288	Borcherdt	09-08-1992
5,224,801	Quaney	07-06-1993

Applicant: John Paulson  
Application No.: 09/928,918

5,294,216  
5,370,480  
5,553,435

Sievert  
Quaney  
Eickhoff

03-15-1994  
12-06-1994  
09-10-1996

Copies of these documents are submitted herewith along with Form PTO/SB 08a and/or PTO/SB 08b.

Applicant respectfully requests that these documents be expressly considered during the prosecution of this application and made of record herein and appear among the "documents cited" on any patent to issue herefrom.

Respectfully submitted,



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